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UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF CALIFORNIA

CORY HORTON, on behalf of himself  
and all others similarly situated,

Plaintiff,

v.

CAVALRY PORTFOLIO SERVICES, LLC,

Defendant.

NO. 13-CV-00307-JAH (WVG)

**PLAINTIFFS' MOTION FOR  
ATTORNEYS' FEES, COSTS, AND  
INCENTIVE AWARDS**

Complaint Filed: February 7, 2013

DEMAND FOR JURY TRIAL

Honorable John A. Houston

DATE: September 28, 2020

TIME: 2:30 p.m.

COURTROOM: 13B

PLAINTIFFS' MOTION FOR ATTORNEYS' FEES, COSTS,  
AND INCENTIVE AWARDS  
13-CV-00307-JAH (WVG)

1 KEVIN KREJCI, on behalf of himself and all  
2 others similarly situated,

NO. 3:16-cv-00211-JAH-WVG

3 Plaintiff,

4 v.  
5

6 CAVALRY PORTFOLIO SERVICES, LLC,

7 Defendant.  
8  
9

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## I. INTRODUCTION

This consolidated TCPA class action arises out of telephone calls Cavalry made to the cell phones of Plaintiffs and other class members associated with more than 1 million accounts, in the course of attempting to collect allegedly unpaid debts. Plaintiffs allege that Cavalry made these calls using “automatic telephone dialing systems” as defined in 47 U.S.C. § 227(a)(1), in violation of the Telephone Consumer Protection Act (47 U.S.C. § 227) (“TCPA”).

The case settled after more than six years of fierce litigation. Although the parties engaged in mediation earlier in the case, settlement negotiations were inconclusive. Cavalry lodged a vigorous defense, requiring Plaintiffs and Class Counsel to aggressively prosecute their claims. The parties did not renew settlement discussions until after they had fully briefed the motion for class certification and cross-motions for summary judgment. Even then, resolution was not swift. The parties required two additional full-day mediation sessions. Months later, they eventually settled.

The settlement provides significant relief for Settlement Class Members. Cavalry must pay \$6,150,000 into a non-reversionary common fund and provide up to \$18,000,000 in debt relief. To compensate them for their efforts, Class Counsel request a fee award of \$2,000,000, which recognizes the excellent result they obtained for the Settlement Class given the risks they faced. A lodestar crosscheck confirms the reasonableness of this request.

Finally, Class Counsel also respectfully request reimbursement of \$85,349.64 in litigation costs, and request that the Court approve incentive awards to each Plaintiff in the amount of \$10,000 for their work on behalf of the Settlement Class. Plaintiffs have actively participated in this action. Mr. Horton

1 responded to written discovery requests and sat for a deposition. And both  
 2 Plaintiffs assisted in counsel's investigation and settlement discussions. Incentive  
 3 awards of \$10,000 each for their efforts are reasonable and consistent with  
 4 Ninth Circuit precedent.

## 5 **II. ISSUE TO BE DECIDED**

6 Whether the requested attorneys' fees, costs, and incentive awards are  
 7 reasonable and should be awarded.

## 8 **III. STATEMENT OF FACTS**

### 9 **A. Plaintiffs and their counsel vigorously litigated on behalf of the** 10 **Settlement Class.**

11 Cory Horton filed a class action complaint alleging that Cavalry is liable  
 12 under the TCPA for calls it made to his cell phone using an automatic telephone  
 13 dialing system in violation of 47 U.S.C. § 227(b)(1)(A). Declaration of Sergei  
 14 Lemberg ("Lemberg Decl.") ¶ 10. Three years later, Kevin Krejci brought a  
 15 second class action against Cavalry alleging similar claims. *Id.* ¶ 11. Both allege  
 16 that Cavalry made calls to their cell phones without their prior express consent  
 17 while attempting to collect debts.

18 The parties engaged in substantial discovery during the litigation. They  
 19 served and responded to written discovery requests and reviewed thousands of  
 20 pages of documents. ECF 289-1 ¶¶ 9-10; ECF 289-3 ¶¶ 11-12; Lemberg Decl.  
 21 ¶ 12. Plaintiffs took four depositions of Cavalry, including a redeposition of a  
 22 30(b)(6) designee following a motion to compel, and Cavalry deposed Mr.  
 23 Horton. Lemberg Decl. ¶ 12. Plaintiffs engaged in third party discovery of Mr.  
 24 Horton's original lender, Navy Federal Credit Union, and TracFone Wireless for  
 25 telephone records. *Id.* Plaintiffs also retained two experts. *Id.* Randall Snyder  
 26

1 analyzed the systems Cavalry used to make calls and determined they were  
 2 automatic dialing systems. *Id.* Anya Verkhovskaya analyzed the calling data  
 3 Cavalry produced records to determine the number of calls Cavalry made to  
 4 unique telephone numbers, and what percentage of those numbers were cell  
 5 phones. *Id.* Plaintiffs defended the depositions of both experts and deposed  
 6 Cavalry's expert, Kenneth Sponsler. *Id.*

7 In addition to discovery, the parties engaged in extensive substantive  
 8 motion practice. Plaintiff Horton moved for class certification, and responded to  
 9 Cavalry's motion for summary judgment, and the parties moved to strike one  
 10 another's experts. ECF 289-3 ¶ 13; Lemberg Decl. 12. Mr. Horton also  
 11 successfully defended and won summary judgment on Cavalry's debt collection  
 12 counterclaim. *Id.* While Cavalry's summary judgment motion was pending, the  
 13 FCC released an order addressing what type of equipment qualifies as an  
 14 autodialer, which was appealed. ECF 289-1 ¶ 11; Declaration of Adrienne D.  
 15 McEntee ("McEntee Decl.") ¶ 2. As a result, the Court stayed proceedings. *Id.*  
 16 After the D.C. Circuit issued its decision in *ACA Int'l v. FCC*, 885 F.3d 687, 693  
 17 (D.C. Cir. 2018), the Court lifted the stay and the parties filed cross motions for  
 18 summary judgment on whether Cavalry's systems are automatic telephone  
 19 dialing systems. *Id.* Those motions remain pending.

20 **B. Plaintiffs and their counsel negotiated an outstanding settlement**  
 21 **for the Settlement Class.**

22 While the first round of dispositive motions was pending, the parties  
 23 participated in a mediation with Hon. Herbert B. Hoffman, Ret. ECF 289-3 ¶ 14;  
 24 Lemberg Decl. ¶ 12. After the stay was lifted and the parties had fully briefed  
 25 cross-motions for partial summary judgment, the parties renewed settlement  
 26 negotiations. ECF 289-1 ¶ 12; ECF 289-3 ¶ 17; McEntee Decl. ¶ 2; Lemberg Decl.

¶ 12. They participated in two full day mediations with Hon. Leo S. Papas, Ret. *Id.* Neither resulted in settlement. *Id.* However, the parties continued negotiations and several months after their third mediation were able to reach agreement on the terms of a settlement. ECF 289-2 (Settlement Agreement).

The proposed settlement requires Cavalry to provide Settlement Class Members with more than \$24 million in settlement benefits, comprised of a Debt Relief Fund of up to \$18,000,000 and a non-reversionary Cash Fund of \$6,150,000. ECF 289-2 §§ 2.7, 2.21, 4.1, 4.2. Settlement Class Members with Open Accounts who submit valid claims for debt relief will receive their *pro rata* share of \$18,000,000 in debt relief, up to \$599 each. *Id.* §§ 4.1, 4.3. Settlement Class Members with Closed and Open Accounts who submit valid claims for cash will receive cash awards from the Cash Fund on a *pro rata* basis after payment of administrative costs, incentive awards, attorneys' fees, and litigation costs approved by the Court. §§ 4.2.3, 4.3. To participate, a Settlement Class Member need only complete a simple Claim Form with his or her name, contact information, and the telephone number that received the call(s). *Id.*, Exh. D.

Cavalry identified 1,035,232 Open and Closed accounts associated with Settlement Class Members to whom claims administrator JND provided notice. ECF 289-4. To date, JND has received 54,791 claims. McEntee Decl. ¶ 21. Their early analysis shows that at least 3,705 Settlement Class Members with Open Accounts have submitted claims for debt relief, which represents \$2,219.295 of the \$18 million in debt relief available under the settlement. *Id.* Settlement Class Members still have a month—until July 29, 2020—to submit claims, opt out, or object. *Id.* If 5% of Settlement Class Members with Open Accounts file claims for debt relief, each will receive debt relief of approximately \$500. ECF 289-1 ¶¶ 19-

21. If 10% of Settlement Class Members (whether they have Open or Closed Accounts) file claims for cash, each will receive approximately \$30. *Id.*

**C. The Court granted preliminary approval of the proposed settlement.**

Plaintiffs filed their unopposed motion for preliminary approval of the proposed settlement on February 21, 2020. ECF 289. The Court granted preliminary approval of the proposed settlement on April 14, 2020. ECF 292.

**IV. AUTHORITY AND ARGUMENT**

**A. The percentage-of-the-fund method is the appropriate method for determining a reasonable attorneys' fee in this case.**

The common fund doctrine is an equitable exception to the American rule that litigants must bear their own attorneys' fees. *Boeing Co. v. Van Gemert*, 444 U.S. 472, 478 (1980). It is well settled that "a lawyer who recovers a common fund for the benefit of persons other than himself or his client is entitled to a reasonable attorney's fee from the fund as a whole." *Id.* The "common fund" doctrine "rests on the perception that persons who obtain the benefit of a lawsuit without contributing to its cost are unjustly enriched at the successful litigant's expense." *Id.* A court with jurisdiction over the fund can "prevent this inequity by assessing attorney's fees against the entire fund, thus spreading fees proportionately among those benefited by the suit." *Id.* "Ninth Circuit jurisprudence ... permits the application of common fund principles where—as in the present case—the class of beneficiaries is identifiable and the benefits can be traced in order to allocate the fees to the class." *Glass v. UBS Fin. Servs., Inc.*, 331 F. App'x 452, 457 (9th Cir. 2009). In such cases, "the common fund doctrine ensures that each member of the winning party contributes proportionately to the payment of attorneys' fees." *Staton v. Boeing Co.*, 327 F.3d 938, 967 (9th Cir.

2003); *see also In re Wash. Pub. Power Supply Sys. Sec. Litig.*, 19 F.3d 1291, 1300 (9th Cir. 1994) (“those who benefit in the creation of a fund should share the wealth with the lawyers whose skill and effort helped create it”).

Courts in the Ninth Circuit have discretion to award attorneys’ fees using either the percentage of the fund method or the lodestar method when settlement of a class action creates a common fund. *Vizcaino v. Microsoft Corp.*, 290 F.3d 1043, 1047 (9th Cir. 2002). The method a district court chooses to use, and its application of that method, must achieve a reasonable result. *See In re Bluetooth Headset Prods. Liab. Litig.*, 654 F.3d 935, 942 (9th Cir. 2011) (“Though courts have discretion to choose which calculation method they use, their discretion must be exercised so as to achieve a reasonable result.”). As the Ninth Circuit has instructed, “[r]easonableness is the goal, and mechanical or formulaic application of either method, where it yields an unreasonable result, can be an abuse of discretion.” *In re Coordinated Pretrial Proceedings in Petroleum Prods. Antitrust Litig.*, 109 F.3d 602, 607 (9th Cir. 1997).

The Ninth Circuit and district courts in this Circuit have recognized that the percentage-of-the-fund method is the appropriate method for calculating fees when counsel’s effort has created a common fund. *See, e.g., In re Bluetooth*, 654 F.3d at 942 (“Because the benefit to the class is easily quantified in common-fund settlements, we have allowed courts to award attorneys a percentage of the common fund in lieu of the often more time-consuming task of calculating the lodestar.”); *In re Omnivision Techs., Inc.*, 559 F. Supp. 2d 1036, 1046 (N.D. Cal. 2008) (observing that “use of the percentage method in common fund cases appears to be dominant” and discussing its advantages over the lodestar method); *see also* William B. Rubenstein, *Why the Percentage Method?*, 2 Class Action Attorney Fee Digest 93 (March 2008) (“[U]nder the percentage method,

1 counsel has an interest in generating as large a recovery for the class as possible,  
 2 as her fee increases with the class's take, while keeping her hours to the  
 3 minimum necessary to do the job effectively." ).<sup>1</sup>

4 The lodestar method, by contrast, is typically used when the value of the  
 5 class's recovery is difficult to determine. *See In re Bluetooth*, 654 F.3d at 941  
 6 (courts use the lodestar method when the relief is "primarily injunctive in nature  
 7 and thus not easily monetized"); *Hanlon v. Chrysler Corp.*, 150 F.3d 1011, 1029  
 8 (9th Cir. 1998) (noting that courts use the lodestar method when "there is no  
 9 way to gauge the net value of the settlement or any percentage thereof").  
 10 Courts also use the lodestar method to determine a reasonable fee in cases  
 11 involving a fee-shifting statute ("such as federal civil rights, securities, antitrust,  
 12 copyright, and patent acts"). *In re Bluetooth*, 654 F.3d at 941. The lodestar  
 13 method, by contrast, has been criticized as encouraging lawyers to prolong the  
 14 litigation and discourage early settlements that would benefit the class. *See*  
 15 *Vizcaino*, 290 F.3d at 1050 n.5 ("[I]t is widely recognized that the lodestar  
 16 method creates incentives for counsel to expend more hours than may be  
 17 necessary on litigating a case so as to recover a reasonable fee, since the  
 18 lodestar method does not reward early settlement").

19 The percentage-of-the-fund method is the appropriate method for  
 20 determining a reasonable fee in this case. The benefit to the Settlement Class is  
 21 easily quantified. Class Counsel's efforts resulted in settlement relief of up to  
 22 \$24,150,000, comprised of a \$18,000,000 in Debt Relief Fund and a non-  
 23 reversionary \$6,150,000 Cash Fund. All of the Cash Fund will be distributed to  
 24

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25 <sup>1</sup> Available at  
 26 [http://www.billrubenstein.com/Downloads/Rubenstein%20\\_Mar08\\_column.pdf](http://www.billrubenstein.com/Downloads/Rubenstein%20_Mar08_column.pdf)



Settlement Class Members who submitted claims for cash after settlement expenses, including administration expenses, Court-approved fees and costs, and Court-approved incentive awards, are deducted. Up to \$599 will be credited to each Settlement Class Members who submitted claims for debt relief. Using the percentage method in this case will recognize Class Counsel's efficiency and their efforts to achieve the highest possible recovery for the Settlement Class.

1. A fee award of 8% of the total settlement value, or 32.5% of the Cash Fund, will fairly compensate Class Counsel for their work on behalf of the Settlement Class.

The Ninth Circuit has held that 25% of the gross settlement amount is the benchmark for attorneys' fees awarded under the percentage-of-the-fund method. *Vizcaino*, 290 F.3d at 1047; *see also In re Bluetooth*, 654 F.3d at 942 ("[C]ourts typically calculate 25% of the fund as the 'benchmark' for a reasonable fee award, providing adequate explanation in the record of any 'special circumstances' justifying a departure."). While the 25% benchmark is the starting point for the analysis, "in most common fund cases, the award exceeds [the] benchmark." *In re Omnivision Tech., Inc.*, 559 F. Supp. 2d at 1047 (citations omitted).

District courts in this circuit have routinely awarded fees of one-third of the common fund or higher after considering the particular facts and circumstances of each case. *See In re Mego Fin. Corp. Sec. Litig.*, 213 F.3d 454, 460 (9th Cir. 2000) (affirming an award of one-third of total recovery); *In re Pacific Enters. Sec. Litig.*, 47 F.3d 373, 379 (9th Cir. 1995) (noting 33% award "for attorneys' fees is justified because of the complexity of the issues and the risks"); *Beaver v. Tarsadia Hotels*, No. 11-CV-01842-GPC-KSC, 2017 WL 4310707, at \*17 (S.D. Cal. Sept. 28, 2017) (approving 33% fee award); *Clarke v. Insight*

1 *Glob., Inc.*, No. 13-CV-0357-H (BLM), 2015 WL 13828417, at \*7 (S.D. Cal. Jan. 5,  
 2 2015) (approving 33% fee award); *Smith v. CRST Van Expedited, Inc.*, No. 10-CV-  
 3 1116- IEG WMC, 2013 WL 163293, at \*5 (S.D. Cal. Jan. 14, 2013) (approving fee  
 4 equal to 33% of cash payment but only 7.5% of total settlement value).

5 The percentage may be adjusted up or down based on the court's  
 6 consideration of "all of the circumstances of the case." *Vizcaino*, 290 F.3d at  
 7 1048. The relevant circumstances include (1) the results achieved for the class,  
 8 (2) the risk counsel assumed, (3) the skill required and the quality of the work,  
 9 (4) the contingent nature of the fee, (5) whether the fee is above or below the  
 10 market rate, and (6) awards in similar cases. *Id.* at 1048-50. Consideration of the  
 11 relevant circumstances supports a fee award of \$2,000,000, which is 8% of the  
 12 total settlement value, and 32.5% of the Cash Fund.

13 ***a. Class Counsel achieved an excellent settlement for the class.***

14 The \$24,150,000 settlement value amount reflects the risks Plaintiffs  
 15 faced in establishing that Cavalry is liable under the TCPA because the systems it  
 16 used to place calls to Settlement Class Members are automatic telephone dialing  
 17 systems, and overcoming Cavalry's contention that it had consent to call  
 18 Settlement Class Members, an impediment to class certification. A loss on either  
 19 issue could have prevented the Settlement Class from recovering anything.  
 20 Under the settlement, Settlement Class Members with Open Accounts who file  
 21 claims for debt relief will likely receive debt relief of \$500. ECF 289-1 ¶¶ 19-21  
 22 (based on 5% of those with Open Accounts). Settlement Class Members who file  
 23 claims for cash will likely receive \$30 (based on 10% of all Settlement Class  
 24 Members). *Id.* This is a highly favorable outcome for the class in any TCPA case.

1 *See In re Omnivision*, 559 F. Supp. 2d at 1046 (“The overall result and benefit to  
2 the class from the litigation is the most critical factor in granting a fee award.”).

3 The settlement’s cash component alone is in line with many other TCPA  
4 settlements in this circuit and around the country, including cases approved by  
5 district courts in California. *See In re Portfolio Recovery Assocs., LLC, Tel.*  
6 *Consumer Prot. Act Litig.*, No. 11MD02295 JAH - BGS, ECF 494 & ECF 426-1 at 24  
7 (S.D. Cal. 2017) (approving \$30-per-claimant settlement); *Adams v. AllianceOne*  
8 *Receivables Mgt., Inc.*, No. 3:08-cv-00248, ECF 137, 116 at 7, & 109 at 10–11  
9 (S.D. Cal. 2012) (approving \$40-per-claimant settlement); *Couser v. Comenity*  
10 *Bank*, 125 F. Supp. 3d 1034, 1043 (S.D. Cal. 2015) (approximately \$13.75 per  
11 claimant); *Steinfeld v. Discover Fin. Servs.*, No. 3:12-cv-01118-JSW, 2014 WL  
12 1309352, at \*6 (N.D. Cal. Mar. 10, 2014) (payments estimated to be between  
13 \$20 and \$40); *Rose v. Bank of Am. Corp.*, 12 Civ. 04009, 2014 WL 4273358, at  
14 \*10 (N.D. Cal. Aug. 29, 2014) (approving \$20.00 to \$40.00 per claimant).

15 This factor weighs in favor of Class Counsel’s fee request.

16 ***b. Class Counsel assumed a significant risk of no recovery.***

17 Class Counsel’s fee request also reflects that the case was risky and  
18 handled on a contingency basis. *In re Online DVD-Rental Antitrust Litig.*, 779 F.3d  
19 934, 954-55 (9th Cir. 2015); *Vizcaino*, 290 F.3d at 1048; *see also Jenson v. First Tr.*  
20 *Corp.*, No. CV 05-3124 ABC, 2008 WL 11338161, at \*12 (C.D. Cal. June 9, 2008)  
21 (“Uncertainty that *any* recovery ultimately would be obtained is a highly relevant  
22 consideration. Indeed, the risks assumed by Counsel, particularly the risk of non-  
23 payment or reimbursement of expenses, is important to determining a proper  
24 fee award.” (internal citation omitted)).

1 Class Counsel represented Plaintiffs and the Settlement Class entirely on a  
 2 contingent basis. Courts recognize that “[w]ith respect to the contingent nature  
 3 of the litigation ... courts tend to find above-market-value fee awards more  
 4 appropriate in this context given the need to encourage counsel to take on  
 5 contingency-fee cases for plaintiffs who otherwise could not afford to pay hourly  
 6 fees.” *Destefano v. Zynga, Inc.*, Case No. 12-cv-04007-JSC, 2016 WL 537946, at  
 7 \*18 (N.D. Cal. Feb. 11, 2016) (citing *In re Wash. Public Power*, 19 F.3d at 1299).  
 8 “This is especially true where, as here, class counsel has significant experience in  
 9 the particular type of litigation at issue; indeed, in such contexts, courts have  
 10 awarded an even higher 33 percent fee award.” *Id.* (citing *In re Heritage Bond*  
 11 *Litig.*, No. 02-ML-1475 DT, et al., 2005 WL 1594403, at \*19 (C.D. Cal. June 10,  
 12 2005)); *see also* ECF 289-1 ¶¶ 2-8; ECF 289-3 ¶¶ 3-9.

13 Moreover, Class Counsel faced the very real risk they would not recover  
 14 any fees and costs. *Lofton v. Verizon Wireless (VAW) LLC*, No. C 13-05665 YGR,  
 15 2016 WL 7985253, at \*1 (N.D. Cal. May 27, 2016) (awarding fees above the  
 16 benchmark where, absent settlement, “there would remain a significant risk that  
 17 the Settlement Class may have recovered less or nothing...”). “The risk that  
 18 further litigation might result in Plaintiffs not recovering at all, particularly a case  
 19 involving complicated legal issues, is a significant factor in the award of fees.” *In*  
 20 *re Omnivision Techs., Inc.*, 559 F. Supp. 2d at 1046–47. While Plaintiffs believed  
 21 they have a case for liability, success on this score was not guaranteed. ECF 289-  
 22 1 ¶ 25. Cavalry denies that it can be held liable for the calls it made to  
 23 Settlement Class Members because, it contends, the systems used to make the  
 24 calls are not automatic telephone dialing systems. *Id.* If the Court agreed with  
 25 Cavalry, Plaintiffs would lose on the merits. *Id.* Cavalry also contends Settlement  
 26 Class Members are not entitled to recover because they consented to be

1 contacted on their cell phones by providing their numbers to Cavalry or to the  
 2 original creditor. *Id.* ¶ 27. Although consent is an affirmative defense for which  
 3 Cavalry carries the burden of proof, if the trier of fact disagreed with Plaintiffs on  
 4 this issue, the Settlement Class would receive nothing. *Id.* Evidence of consent  
 5 could also defeat Plaintiffs' motion to certify under Rule 23(b)(3). *Id.* ¶ 28.

6 These risks weigh in favor of Class Counsel's fee request.

7 ***c. Class Counsel's skill and quality of work delivered a***  
 8 ***substantial recovery for the class beyond the cash fund.***

9 "The 'prosecution and management of a complex national class action  
 10 requires unique legal skills and abilities.'" *Omnivision*, 559 F. Supp. 2d at 1047  
 11 (quoting *Edmonds v. United States*, 658 F. Supp. 1126, 1137 (D.S.C. 1987)). Class  
 12 Counsel were able to litigate this case efficiently because of their experience in  
 13 litigating TCPA claims in class action cases. Class Counsel have litigated dozens of  
 14 TCPA cases, achieving a successful resolution in many. See ECF 289-1 ¶¶ 2-8; ECF  
 15 289-3 ¶¶ 2-9. Class Counsel relied on their depth of experience with TCPA claims  
 16 and class action litigation to conduct vigorous discovery, brief class certification  
 17 and summary judgment issues, and negotiate a settlement that capitalized on  
 18 the claims' strengths while taking into account the risks of continued litigation.

19 Moreover, "[w]here class counsel's performance generates benefits  
 20 beyond a cash settlement fund, an upward adjustment may be warranted." See  
 21 *Vizcaino*, 290 F.3d at 1049. The settlement provides Settlement Class Members  
 22 who have Open Accounts with the option to obtain \$18 million in debt relief  
 23 rather than cash, up to \$599 per claimant. Those with Open Accounts have  
 24 already claimed \$2,219,295 of the \$18 million in debt relief available under the  
 25 settlement. With a month left in the claims period, Plaintiffs anticipate this  
 26 number will rise. The debt relief offered under the settlement benefits the public

1 and is the type of non-monetary benefit courts find relevant in analyzing the  
 2 reasonableness of a fee request. *Vizcaino*, 290 F.3d at 1049 (citing *In re Pac.*  
 3 *Enter. Sec. Litig.*, 47 F.3d 373, 379 (9th Cir. 1995) (considering “nonmonetary  
 4 benefits in the derivative settlement”); *Bebchick v. Wash. Metro. Area Transit*  
 5 *Comm’n*, 805 F.2d 396, 408 (D.C. Cir. 1986) (allowing upward adjustment to  
 6 lodestar to reflect benefits to public from litigation)).

7 Finally, “[t]he quality of opposing counsel is also relevant to the quality  
 8 and skill that class counsel provided.” *Destefano*, 2016 WL 537946, at \*17. Class  
 9 Counsel’s ability to negotiate a favorable settlement despite the vigorous  
 10 opposition of Cavalry’s counsel supports their fee request. *See, e.g., Lofton*, 2016  
 11 WL 7985253, at \*1 (the “risks of class litigation against an able defendant well  
 12 able to defend itself vigorously” support an upward adjustment in the fee  
 13 award); *Knight v. Red Door Salons, Inc.*, No. 08-01520, 2009 WL 248367, at \*6  
 14 (N.D. Cal. Feb. 2, 2009) (where defense counsel “understood the legal  
 15 uncertainties in this case[] and were in a position to mount a vigorous defense,”  
 16 the favorable settlement was a “testament to Plaintiffs’ counsel’s skill”).

17 ***d. Awards in similar cases show that the requested fee is***  
 18 ***reasonable.***

19 An award of 32.5% of the Cash Fund—without considering the value of  
 20 the Debt Relief Fund—is consistent with fee awards in other TCPA class  
 21 settlements in this circuit and in federal courts around the country. *See*  
 22 *Gutierrez-Rodriguez v. R.M. Galicia, Inc.*, Case No. 16-CV-00182-H-BLM, 2018 WL  
 23 1470198 (S.D. Cal. March 26, 2018) (approving award of fees and costs of 30%,  
 24 based on excellent results achieved, risks of litigation, high quality of work and  
 25 contingency basis); *Vandervort v. Balboa Capital Corp.*, 8 F. Supp. 3d 1200, 1210  
 26 (C.D. Cal. 2014) (finding “a 33% award of fees and costs is warranted”); *Dakota*

1 *Med., Inc. v. RehabCare Grp., Inc.*, No. 114CV02081DAD, 2017 WL 4180497, at \*9  
 2 (E.D. Cal. Sept. 21, 2017) (approving “an award of one-third of the \$25 million  
 3 settlement fund, or \$8,333,333”).

4 When the \$18 million of available Debt Relief is included, Class Counsel’s  
 5 fee request is equal to just 8% of the total settlement, which is well below the  
 6 25% benchmark. Courts in the Ninth Circuit and elsewhere “have recognized the  
 7 value of debt relief and included it as part of the settlement fund.” *Bottoni v.*  
 8 *Sallie Mae, Inc.*, No. C 10-03602 LB, 2013 WL 12312794, at \*7 (N.D. Cal. Nov. 21,  
 9 2013). For example, in *Smith*, a court in this district awarded fees which  
 10 constituted “33 1/3% of the cash payment but only 7.5% of the total  
 11 \$11,650,000 financial value of the settlement,” concluding that the percentages  
 12 compare “favorably” with the federal benchmark of 25%. 2013 WL 163293, at  
 13 \*5; *see also Cosgrove v. Citizens Auto. Fin., Inc.*, CIV.A. 09-1095, 2011 WL  
 14 3740809 (E.D. Pa. Aug. 25, 2011) (finding debt forgiveness provides a valuable  
 15 award to class members that, unlike a nonmonetary award such as a coupon,  
 16 does not require careful scrutiny to ensure it has value to the class); *Cullen v.*  
 17 *Whitman Med. Corp.*, 197 F.R.D. 136, 147 (E.D Pa. 2000) (including \$1.3 million  
 18 in delinquent loan forgiveness in the value of settlement fund for purposes of  
 19 calculating class counsel’s fee award under the percentage-of-recovery method).

20 Counsel’s request for \$2,000,000 in attorneys’ fees, which amounts to  
 21 approximately 8% of the estimated \$24 million value of the settlement, is  
 22 reasonable.

23 2. A lodestar crosscheck confirms that the requested fee is reasonable.

24 In the Ninth Circuit, courts may use a rough calculation of the lodestar as a  
 25 crosscheck to assess the reasonableness of an award based on the percentage  
 26



method. *Vizcaino*, 290 F.3d at 1050 (“[W]hile the primary basis of the fee award remains the percentage method, the lodestar may provide a useful perspective on the reasonableness of a given percentage award.”); *see also Glass*, 331 F. App’x at 456-57 (affirming a fee award of 25% of a settlement fund with an “informal” lodestar crosscheck and despite “the relatively low time-commitment by plaintiff’s counsel” because “the district court did not abuse its discretion in giving weight to other factors, such as the results achieved for the class and the favorable timing of the settlement”). Courts use a two-step process in applying the lodestar method. First, the court calculates the “lodestar figure” by multiplying the number of hours reasonably expended by a reasonable rate. *Moreno v. City of Sacramento*, 534 F.3d 1106, 1111 (9th Cir. 2008). Once the lodestar is determined, the amount may be adjusted to account for several factors, such as the benefit obtained for the class, the risk of nonpayment, the complexity and novelty of the issues presented, and awards in similar cases. *See In re Bluetooth*, 654 F.3d at 942. Foremost among the considerations is the benefit obtained for the class.” *Id.*

**a. Class Counsel’s rates are consistent with rates in the community for similar work performed by attorneys of comparable skill, experience, and reputation.**

In determining a reasonable hourly rate, courts look at the prevailing market rates in the relevant community, which is the forum in which the district court sits. *Gonzalez v. City of Maywood*, 729 F.3d 1196, 1205 (9th Cir. 2013). In this district, courts have approved hourly rates up to \$900. *See Vasquez v. Kraft Heinz Foods Co.*, No. 3:16-CV-2749-WQH-BLM, 2020 WL 1550234, at \*7 (S.D. Cal. Apr. 1, 2020) (finding rates for paralegals and attorneys, ranging from \$175 per hour for paralegals, \$325 per hour for a second-year associate to \$900 per hour



for a forty-sixth-year partner were reasonable); *Hunter v. Nature's Way Prods., LLC*, No. 3:16-cv-532-WQH-AGS, 2020 WL 71160, at \*7 (S.D. Cal. Jan. 6, 2020) (finding hourly rates ranging from \$500 to \$750 reasonable); *In re Portfolio Recovery Assocs., LLC, Tel. Consumer Prot. Act Litig.*, No. 11MD02295 JAH – BGS, 2017 WL 10777695, at \*2, ECF 427-1 at 23-24 (finding hourly rates of \$290 to \$750 reasonable); *Obesity Research Inst., LLC v. Fiber Research Int'l, LLC*, No. 15-cv-595-BAS-MDD, 2016 WL 1573319, at \*2 (S.D. Cal. Apr. 18, 2016) (finding hourly rates of \$400 to \$725 reasonable); *Makaeff v. Trump Univ., LLC*, No. 10cv1940 GPC (WVG), 2015 WL 1579000, at \* (S.D. Cal. Apr. 9, 2015) (finding hourly rates up to \$825 reasonable).

Class Counsel have provided the Court with declarations describing the basis for their hourly rates, including their education, legal experience, and reputation in the legal community. Counsel set their rates for attorneys and staff members based on a variety of factors, including, among others: the experience, skill and sophistication required for the types of legal services typically performed; the rates customarily charged in the markets where legal services are typically performed; and the experience, reputation and ability of the attorneys and staff members. *See* McEntee Decl. ¶¶ 3-12, 17; Lemberg Decl. ¶¶ 3-9, 17-21; Across firms, the rates Class Counsel charged for attorneys and staff members working on this matter ranged from \$200.00 to \$775.00. McEntee Decl. ¶ 12; Lemberg Decl. ¶ 15. Courts have found these rates to be reasonable in numerous class action cases. McEntee Decl. ¶¶ 17-18; Lemberg Decl. ¶ 22. Because counsel's hourly rates are in line with rates approved in similar cases in this district, counsel's hourly rates are reasonable and appropriate for calculating the lodestar. *See Welch v. Metro. Life Ins. Co.*, 480 F.3d 942, 947 (9th

1 Cir. 2007) (affidavits by plaintiffs' counsel and fee awards in other cases are  
2 sufficient evidence of prevailing market rates).

3 ***b. Class Counsel expended a reasonable number of hours***  
4 ***litigating the case.***

5 The number of hours that Class Counsel devoted to investigation,  
6 discovery, motion practice, and achieving a favorable settlement is reasonable.  
7 Since the inception of this case more than six years ago, Class Counsel have  
8 worked diligently to prosecute this consolidated action on behalf of the  
9 Settlement Class.

10 Counsel served and responded to written discovery requests, produced  
11 and reviewed thousands of pages of documents, and took multiple depositions  
12 of Cavalry, a third party, and Cavalry's expert. ECF 289-3 ¶ 12. In total, Plaintiffs  
13 took or defended nine depositions. Lemberg Decl. ¶ 12. Class Counsel moved for  
14 class certification, filed two motions for summary judgment, responded to two  
15 motions for summary judgment, opposed Cavalry's motion to exclude Plaintiffs'  
16 experts, and briefed multiple motions to compel discovery. ECF 289-3 ¶¶ 12-13;  
17 ECF 289-1 ¶ 12; Lemberg Decl. ¶ 12; McEntee Decl. ¶ 2. Pending a summary  
18 judgment ruling, the parties attended two mediations and afterward negotiated  
19 with Cavalry for months until the parties were finally able to settle. ECF 289-3 ¶  
20 17; ECF 289-1 ¶ 12; Lemberg Decl. ¶ 12; McEntee Decl. ¶ 2.

21 In all, Class Counsel dedicated over 2,740 hours to the investigation,  
22 development, litigation, and resolution of this case. See McEntee Decl. ¶ 12;  
23 Lemberg Decl. ¶ 15. This total excludes time that Class Counsel removed as  
24 administrative, that did not benefit the Settlement Class, or that was arguably  
25 excessive. McEntee Decl. ¶ 14; Lemberg Decl. ¶ 15. As in every case, counsel will  
26 spend additional hours to see this case through to final resolution, including the

work necessary to prepare the motion for final approval, attend the hearing on final approval, and ensure the claims process is properly carried out. Class Counsel's total lodestar, which does not include the time incurred to prepare this motion, is \$1,517,588. *Id.*

***c. A multiplier is reasonable and appropriate.***

After determining the lodestar, courts consider the appropriate multiplier to apply. The multiplier can be determined by dividing the total fees sought by the lodestar. *See Hopkins v. Stryker Sales Corp.*, No. 11-CV-02786-LHK, 2013 WL 496358, at \*4 (N.D. Cal. Feb. 6, 2013). "The purpose of this multiplier is to account for the risk Class Counsel assumes when they take on a contingent-fee cases." *Id.* (citation omitted). Multipliers are commonplace in attorneys' fee awards in class actions, particularly when the lodestar method is used to cross-check a percentage-of-the-fund fee. *See* Richard A. Posner, *Economic Analysis of Law* 783 (8th ed. 2011) ("A contingent fee must be higher than a fee for the same legal services paid as or after they are performed. The contingent fee compensates the lawyer not only for the legal services he renders but for the loan of those services. The implicit interest rate on such a loan is high because the risk of default (the loss of the case, which cancels the client's debt to the lawyer) is much higher than in the case of conventional loans, and the total amount of interest is large not only because the interest rate is high but because the loan may be outstanding for years—and with no periodic part payment, a device for reducing the risk borne by the ordinary lender."); *see also* John Leubsdorf, *The Contingency Factor in Attorney Fee Awards*, 90 Yale L.J. 473, 480 (1981) ("A lawyer who both bears the risk of not being paid and provides legal services is not receiving the fair market value of his work if he is paid only for the

1 second of these functions. If he is paid no more, competent counsel will be  
2 reluctant to accept fee award cases.”).

3 Courts approach multipliers differently when the lodestar method is used  
4 as a crosscheck than when using the lodestar in fee-shifting cases. In fee-shifting  
5 cases, “the question of whether a multiplier is permitted is a question of  
6 statutory interpretation” and “courts are somewhat hesitant to make the shift  
7 broader than is necessary” since the adversary pays the fee. William B.  
8 Rubenstein, 5 Newberg on Class Actions § 15:91 (5th ed. Nov. 2018 update).  
9 “[I]n common fund cases, courts that employ a pure lodestar method are not  
10 bound by the Supreme Court’s rulings that limit multiplied lodestars in the fee-  
11 shifting context.” *Id.*; see also *Vizcaino*, 290 F.3d at 1051 (“The bar against risk  
12 multipliers in statutory fee cases does not apply to common fund cases” and  
13 “courts have routinely enhanced the lodestar to reflect the risk of non-payment  
14 in common fund cases.” (citation omitted)).

15 In the Ninth Circuit, multipliers “ranging from one to four are frequently  
16 awarded.” *Vizcaino*, 290 F.3d at 1051 n.6. The Ninth Circuit collected dozens of  
17 class action lodestars and found that in 83% of the cases the lodestar was  
18 between 1.0 and 4.0. *Id.* Courts find higher multipliers appropriate when using  
19 the lodestar method as a crosscheck for an award based on the percentage  
20 method. See, e.g., *Steiner v. Am. Broad Co., Inc.*, 248 F. App’x 780, 783 (9th Cir.  
21 2007) (finding a multiplier of approximately 6.85 to be “well within the range of  
22 multipliers that courts have allowed” when crosschecking a fee based on a  
23 percentage of the fund); *Beaver*, 2017 WL 4310707, at \*13 (“The one-third fee  
24 Class Counsel seeks reflects a multiplier of 2.89 on the lodestar which is  
25 reasonable for a complex class action case”); *Couser*, 125 F.Supp.3d at 1049  
26 (finding a 2.80 multiplier reasonable in a TCPA case); *Smith*, 2013 WL 163293, at

\*5 (1.5 lodestar multiplier on crosscheck of fee award equal to 33 1/3% of cash payment but only 7.5% of total settlement value); *Pan v. Qualcomm Inc.*, No. 16-CV-01885-JLS-DHB, 2017 WL 3252212, at \*13 (S.D. Cal. July 31, 2017) (finding a multiplier of 3.5 to be reasonable for a fee equal to 24.6% of the settlement value); *Van Vranken v. Atl. Richfield Co.*, 901 F. Supp. 294, 298-99 (N.D. Cal. 1995) (finding a multiplier of 3.6 was “well within the acceptable range”).

Courts may consider the following factors when assessing the reasonableness of a multiplier: “(1) the time and labor required, (2) the novelty and difficulty of the questions involved, (3) the skill requisite to perform the legal service properly, (4) the preclusion of other employment by the attorney due to acceptance of the case, (5) the customary fee, (6) whether the fee is fixed or contingent, (7) time limitations imposed by the client or the circumstances, (8) the amount involved and the results obtained, (9) the experience, reputation, and ability of the attorneys, (10) the ‘undesirability’ of the case, (11) the nature and length of the professional relationship with the client, and (12) awards in similar cases.” *Kerr v. Screen Extras Guild, Inc.*, 526 F.2d 67, 70 (9th Cir. 1975); *see also Vizcaino*, 290 F.3d at 1051 (noting that the district court found a 3.65 multiplier to be reasonable after considering the factors in *Kerr*).

Application of these factors confirms that a modest multiplier of 1.318 is reasonable and appropriate. Class Counsel took the case on a contingent basis and to the preclusion of other work. They were able to achieve a favorable settlement for the Settlement Class that is comparable to other similar settlements (and superior to many) despite the challenges presented by this litigation. Class Counsel have substantial experience in litigating TCPA class actions and have earned reputations for skilled representation of victims of TCPA violations. Finally, Class Counsel will continue to respond to Settlement Class

Members' calls and work with the settlement administrator through final approval and distribution of the settlement funds.

**B. Class Counsel's litigation costs were necessarily and reasonably incurred.**

Rule 23(h) allows courts to award costs authorized by law or the parties' agreement. Attorneys who create a common fund are entitled to reimbursement of their out-of-pocket expenses so long as they are reasonable, necessary and directly related to the work performed on behalf of the class.

*Vincent v. Hughes Air W.*, 557 F.2d 759, 769 (9th Cir. 1977); *see also In re Immune Response Sec. Litig.*, 497 F. Supp. 2d 1166, 1177 (S.D. Cal. 2007) (approving "reimbursements for 1) meals, hotels, and transportation; 2) photocopies; 3) postage, telephone, and fax; 4) filing fees; 5) messenger and overnight delivery; 6) online legal research; 7) class action notices; 8) experts, consultants, and investigators; and 9) mediation fees").

Class Counsel have provided the Court with charts that list their litigation costs by category. These costs, much of which were incurred retaining experts to perform the analyses essential to proving Cavalry's liability and damages, total \$85,349.64. McEntee Decl. ¶ 19; Lemberg Decl. ¶ 24.

**C. Plaintiffs request incentive awards of \$10,000.**

Class representatives are eligible for reasonable service awards. *Staton*, 327 F.3d at 977. The Ninth Circuit has explained that service awards that are "intended to compensate class representatives for work undertaken on behalf of a class 'are fairly typical in class action cases.'" *In re Online DVD*, 779 F.3d at 943 (quoting *Rodriguez v. W. Publishing*, 563 F.3d 948, 958-59 (9th Cir. 2009)). The awards recognize the effort class representatives expend and the financial or reputational risk they undertake in bringing the case, and to recognize their

1 willingness to act as private attorneys general. *W. Publishing*, 563 F.3d at 958-59.  
2 The factors courts consider include the class representative's actions to protect  
3 the interests of the class, the degree to which the class has benefitted from  
4 those actions, the time and effort the class representative expended in pursuing  
5 the litigation, and any risk the class representative assumed. *Staton*, 327 F.3d at  
6 977; *see also Smith*, 2013 WL 163293, at \*6 (addressing incentive award criteria).

7 Unlike unnamed Settlement Class Members, who are passive beneficiaries  
8 of the representatives' efforts on their behalf, named class representatives  
9 agree to be the subject of discovery, including making themselves available as  
10 witnesses at deposition and trial, and subject themselves to other obligations of  
11 named parties. Service payments, which serve as premiums in addition to any  
12 claims-based recovery from the settlement, promote the public policy of  
13 encouraging individuals to undertake the responsibility of representative  
14 lawsuits. In this case, Plaintiffs together protected the interests of the  
15 Settlement Class for more than six years. Each actively assisted in the  
16 prosecution of this case. Mr. Horton worked closely with counsel to respond to  
17 Cavalry's interrogatories, requests for production, and requests for admission.  
18 Declaration of Cory Horton ¶ 3. He sat for a nearly full-day deposition. *Id.* ¶ 4. He  
19 endured a counterclaim from Cavalry, which he successfully defeated. *Id.* ¶ 5. He  
20 rejected an offer of judgment in favor of reaching a classwide resolution. *Id.* ¶ 6.  
21 And he consulted with counsel throughout the settlement process to ensure  
22 that an excellent result was reached for all Settlement Class Members. *Id.* ¶ 7.  
23 Mr. Krejci has been an exemplary class representative. Lemberg Decl. ¶ 27. He  
24 aided in counsel's investigation and provided documentation and records as part  
25 of the investigative process. *Id.* He kept abreast of events, approved  
26 participation in the settlement conferences before Judge Papas, and made



1 himself available to attend the same. *Id.* Further, while his action was stayed for  
 2 years, he maintained committed to pursuing class relief rather than an individual  
 3 resolution. *Id.*

4 Incentive awards of \$10,000 are reasonable and in line with awards  
 5 approved by federal courts in California. *See, e.g., Beaver*, 2017 WL 4310707, at  
 6 \*8 (approving \$50,000 service awards); *Smith*, 2013 WL 163293, at \*6  
 7 (approving \$15,000 incentive awards to each of three class representatives); *del*  
 8 *Toro Lopez v. Uber Techs., Inc.*, No. 17-cv-06255-YGR, 2018 WL 5982506, at \*3,  
 9 18 (N.D. Cal. Nov. 14, 2018) (approving service awards ranging from \$30,000 to  
 10 \$50,000); *In re Nat'l Collegiate Athletic Ass'n*, No. 4:14-md-2541-CW, 2017 WL  
 11 6040065, at \*11 (N.D. Cal. Dec. 6, 2017) (awarding \$20,000 incentive awards to  
 12 each of four class representatives and collecting cases approving similar awards);  
 13 *Lofton*, 2016 WL 7985253, at \*2 (awarding \$15,000 incentive fee in TCPA class  
 14 action); *Contreras v. Performance Food Grp., Inc.*, No. 4:14-CV-03380-PJH, 2016  
 15 WL 9138157, at \*1 (N.D. Cal. May 4, 2016) (approving service award “in the  
 16 amount of \$10,000.00, for the initiation of this action, the substantial benefit  
 17 conferred upon the Class, and the risks taken by stepping forward and  
 18 prosecuting this action”). Thus, \$10,000 incentive awards are reasonable here.

## 19 **V. CONCLUSION**

20 Class Counsel request that the Court approve a fee award of \$2,000,000,  
 21 which represents 8% of the total settlement value and 32.5% of the Cash Fund,  
 22 and reimbursement of \$85,349.64 in litigation costs. Plaintiffs request incentive  
 23 awards of \$10,000 each in recognition of their representation of the Settlement  
 24 Class in this case.



1 RESPECTFULLY SUBMITTED AND DATED this 29th day of June, 2020.

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28 AND INCENTIVE AWARDS

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28 AND INCENTIVE AWARDS  
- 25 - 13-CV-00307-JAH (WVG)

CERTIFICATE OF SERVICE

I, Adrienne D. McEntee, hereby certify that on June 29, 2020, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the following:

Tomio B. Narita, CSB #156576  
Email: tnarita@snllp.com  
Jeffrey A. Topor, CSB #195545  
Email: jtopor@snllp.com  
SIMMONDS & NARITA LLP  
44 Montgomery Street, Suite 3010  
San Francisco, California 94104-4816  
Telephone: (415) 283-1000  
Facsimile: (415) 352-2625

*Attorneys for Defendant*

DATED this 29th day of June, 2020.

TERRELL MARSHALL LAW GROUP PLLC

By: /s/ Adrienne D. McEntee, Pro Hac Vice  
Adrienne D. McEntee, *Admitted Pro Hac Vice*  
Email: amcentee@terrellmarshall.com  
936 North 34th Street, Suite 300  
Seattle, Washington 98103-8869  
Telephone: (206) 816-6603  
Facsimile: (206) 319-5450

*Attorneys for Plaintiff Cory Horton*

PLAINTIFFS' MOTION FOR ATTORNEYS' FEES, COSTS,  
AND INCENTIVE AWARDS  
- 26 -  
13-CV-00307-JAH (WVG)

Beth E. Terrell, CSB #178181  
Email: bterrell@terrellmarshall.com  
Adrienne D. McEntee, *Admitted Pro Hac Vice*  
Email: amcentee@terrellmarshall.com  
TERRELL MARSHALL LAW GROUP PLLC  
936 North 34th Street, Suite 300  
Seattle, Washington 98103-8869  
Telephone: (206) 816-6603  
Facsimile: (206) 319-5450

[Additional Counsel Appear on Signature Page]

*Attorneys for Plaintiff Cory Horton*

UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF CALIFORNIA

CORY HORTON, on behalf of himself  
and all others similarly situated,

Plaintiff,

v.

CAVALRY PORTFOLIO SERVICES, LLC,

Defendant.

NO. 13-CV-00307-JAH (WVG)

**DECLARATION OF ADRIENNE D.  
McENTEE IN SUPPORT OF  
PLAINTIFFS' MOTION FOR  
ATTORNEYS' FEES, COSTS, AND  
INCENTIVE AWARDS**

Complaint Filed: February 7, 2013

DEMAND FOR JURY TRIAL

Honorable John A. Houston

DATE: September 28, 2020

TIME: 2:30 p.m.

COURTROOM: 13B

DECLARATION OF ADRIENNE D. McENTEE IN SUPPORT OF PLAINTIFFS'  
MOTION FOR ATTORNEYS' FEES, COSTS, AND SERVICE AWARDS

1 KEVIN KREJCI, on behalf of himself and all  
2 others similarly situated,

NO. 3:16-cv-00211-JAH-WVG

3 Plaintiff,

4 v.

5  
6 CAVALRY PORTFOLIO SERVICES, LLC,

7 Defendant.  
8  
9

10 I, Adrienne D. McEntee, hereby declare as follows:

11 1. I am a member of the law firm of Terrell Marshall Law Group PLLC  
12 (“Terrell Marshall”), counsel of record for Plaintiffs in this matter. I am admitted  
13 to practice before this Court and am a member in good standing of the bar of  
14 the state of Washington. I respectfully submit this declaration in support of  
15 Plaintiffs’ Motion for Attorneys’ Fees, Costs, and Incentive Awards. Except as  
16 otherwise noted, I have personal knowledge of the facts set forth in this  
17 declaration and could testify competently to them if called upon to do so.

18 2. Terrell Marshall’s work on this matter included drafting and  
19 responding to cross-motions for summary judgment following the decision in  
20 *ACA Int’l v. FCC*, 885 F.3d 687, 693 (D.C. Cir. 2018), analyzing calling data to  
21 determine calls made to cell phones, working with expert Randall Snyder  
22 regarding his analysis of the systems Cavalry used to make calls, participating  
23 with co-counsel in two full-day mediations with Hon. Leo S. Papas, Ret.,  
24 participating with co-counsel in months of settlement negotiations with Cavalry,  
25 and spearheading written and deposition confirmatory discovery, as set forth in  
26 detail in the Declaration of Adrienne D. Terrell in Support of Plaintiffs’ Motion for  
27

28  
DECLARATION OF ADRIENNE D. McENTEE IN SUPPORT OF PLAINTIFFS’  
MOTION FOR ATTORNEYS’ FEES, COSTS, AND SERVICE AWARDS

1 Preliminary Approval of Class Action Settlement. See ECF 289-1. There, I also  
2 addressed the risks involved in continued prosecution, and Terrell Marshall's  
3 qualifications and experience in the area of consumer class actions. *Id.* The  
4 qualifications of specific attorneys and staff members are set forth below.

5 **Qualifications of Terrell Marshall's Attorneys and Staff**

6 3. I became a member of Terrell Marshall in 2014. The core of my  
7 practice is complex litigation, including the prosecution of class actions on behalf  
8 of consumers, actions involving vulnerable adults, trusts and estates, and real  
9 estate and commercial disputes. I have significant trial experience, having  
10 successfully tried commercial cases involving claims of breach of fiduciary duty,  
11 breach of contract, and breach of easement.

12 4. I graduated from the University of Washington School of Law in  
13 2003, where I was a member of the Pacific Rim Law and Policy Journal and Moot  
14 Court Honor Board. Prior to joining Terrell Marshall, I was a member of Tousley  
15 Brain Stephens PLLC, where I practiced for five years. Before entering private  
16 practice, I worked with the King County Prosecuting Attorney's Office, where I  
17 prosecuted a broad range of crimes. I have tried approximately fifty cases and  
18 have briefed, argued, and won cases before the Washington State Court of  
19 Appeals.

20 5. Since my admission to the bar, I have been an active member of the  
21 Washington State Bar Association and Washington Women Lawyers, as a  
22 member of the Judicial Evaluation Committee. I have been named on the  
23 Washington Super Lawyers list since 2018.

24 6. **Beth E. Terrell** is a founding member of Terrell Marshall. With over  
25 twenty years of experience, Ms. Terrell concentrates her practice in complex  
26 litigation, including the prosecution of consumer protection, defective product,  
27

1 and wage and hour class actions. Ms. Terrell has served as co-lead counsel on  
2 multi-state, multi-district, and nationwide class actions, resulting in hundreds of  
3 millions of dollars in settlements for consumers and workers. She also  
4 represents individual employees with wage and hour, workplace exposure, and  
5 discrimination claims. Ms. Terrell has tried and won cases in state and federal  
6 courts and argued before the Washington State Court of Appeals and the  
7 Washington State Supreme Court as well as several federal circuit level courts.  
8 Ms. Terrell is President of the Public Justice Foundation Board of Directors,  
9 serves on the Equal Justice Works' Board of Counselors, and is Chair of both the  
10 Northwest Consumer Law Center and the Washington Employment Lawyers  
11 Association. A member of the State Bar of California and the Washington State  
12 Bar Association, Ms. Terrell Co-Chairs PLI's Consumer Financial Services Institute,  
13 and frequently presents on a wide variety of topics, including class actions,  
14 consumer protection, legal ethics, gender equity, and electronic discovery. Ms.  
15 Terrell has been repeatedly named to the annual Washington Super Lawyers list.  
16 She has also been named to the Top 100 Washington Super Lawyers list and the  
17 Top 50 Women Super Lawyers list.

18       7.     **Jennifer Rust Murray** is a founding member of Terrell Marshall who  
19 practices complex litigation, including the prosecution of consumer and wage  
20 and hour class actions. In 2005, Ms. Murray received her J.D. from the University  
21 of Washington School of Law where she was a member of the Washington Law  
22 Review. Ms. Murray's law review article entitled "Proving Cause in Fact under  
23 Washington's Consumer Protection Act: The Case for a Rebuttable Presumption  
24 of Reliance" won the Carkeek prize for best submission by a student author.  
25 Before law school, Ms. Murray earned a Ph.D. in Philosophy from Emory  
26 University. Ms. Murray has been an active member of the Washington State Bar  
27

1 Association since her admission to the bar in 2005. She was admitted to the  
 2 Oregon State Bar in 2010. Ms. Murray currently is vice-president of the board of  
 3 Washington's Unemployment Law Project. She regularly presents at legal  
 4 conferences on consumer issues. Ms. Murray has been repeatedly named a  
 5 "Rising Star" on the Washington Super Lawyers list.

6 8. **Elizabeth A. Adams** has been an associate with Terrell Marshall  
 7 since 2015. Ms. Adams concentrates her practice in complex litigation, including  
 8 the prosecution of consumer, wage and hour, and civil rights class actions. In  
 9 2012, Ms. Adams received her J.D. from the UCLA School of Law, where she  
 10 received the Order of the Coif and served as a Comments Editor for the UCLA  
 11 Law Review. Before joining Terrell Marshall, Ms. Adams served as a law clerk to  
 12 the Honorable Dean D. Pregerson, the Honorable George Wu, and the  
 13 Honorable John A. Kronstadt, all of the United States District Court for the  
 14 Central District of California.

15 9. **Jodi Nuss** has been a senior paralegal at Terrell Marshall since 2018  
 16 and has worked in the legal field since 2010.

17 10. **Eden Nordby** was a senior paralegal at Terrell Marshall for a decade  
 18 until 2018 when she left to attend law school at the University of Washington  
 19 School of Law.

20 11. **Bradford Kinsey** is a legal secretary at Terrell Marshall since 2009  
 21 and has nearly 30 years of legal experience.

#### 22 **Attorneys' Fees**

23 12. The following table identifies the attorneys and staff members from  
 24 Terrell Marshall who worked on this case and for whom the recovery of fees is  
 25 sought. For each of the timekeepers below I have stated the current hourly rate,  
 26 the number of hours worked through June 10, 2020, and the total amount of  
 27



fees. These time summaries are taken from contemporaneous, daily time reports prepared and maintained by Terrell Marshall in the regular course of business:

	<b>RATE</b>	<b>HOURS</b>	<b>TOTAL</b>
Beth E. Terrell Partner	\$775	7.40	\$5,735.00
Jennifer Rust Murray Partner	\$725	10.20	\$7,395.00
Adrienne D. McEntee Partner	\$700	257.4	\$180,180.00
Elizabeth Adams Senior Associate	\$500	51.2	\$25,600.00
Jodi Nuss Senior Paralegal	\$295	36.5	\$10,767.50
Eden Nordby Senior Paralegal	\$275	14.7	\$4,042.50
Bradford Kinsey Legal Secretary	\$225	25.1	\$5,467.50
<b>TOTAL</b>		<b>402.5</b>	<b>\$239,187.50</b>

13. Since the beginning of this case, Terrell Marshall has worked with no guarantee of being compensated for its time and efforts. Payment of Terrell Marshall's fees has always been contingent on successfully obtaining relief for the plaintiffs and proposed class members. As a result, there was a substantial risk of nonpayment, particularly in light of the challenges inherent in this type of case. Work on this case has necessarily been to the exclusion of work on other

1 matters that likely would have generated fees. Terrell Marshall has also been  
2 denied use of the fees it earned over the course of this case.

3 14. I reviewed Terrell Marshall's billing records and reduced or  
4 eliminated time where appropriate. I made reductions where time was largely  
5 administrative in nature. I also eliminated time related to Terrell Marshall's  
6 responses to pleadings filed by Krejci's attorneys in 2016 and related third party  
7 litigation incurred before Plaintiffs formally cooperated their litigation efforts.  
8 Through this process, I reduced Terrell Marshall's lodestar by more than  
9 \$45,000.00.

10 15. We have not included any time spent preparing this motion in  
11 Terrell Marshall's lodestar. The lodestar also does not include the work we will  
12 do after completion of this motion, which will include working with the  
13 settlement administrator, preparing the motion for final approval and attending  
14 the hearing, and communicating with class members.

15 16. The work performed by paralegals and legal assistants was work  
16 that I or attorney would have had to perform absent such assistance. All of these  
17 staff members are qualified to perform substantive legal work based on their  
18 training and past experience working for attorneys.

19 **Terrell Marshall's Rates Have Been Approved by Courts Around the Country**

20 17. The lodestar calculations of plaintiffs' counsel are based on  
21 reasonable hourly rates. Plaintiffs' counsel set their rates for attorneys and staff  
22 members based on a variety of factors, including among others: the experience,  
23 skill and sophistication required for the types of legal services typically  
24 performed; the rates customarily charged in the markets where legal services  
25 are typically performed; and the experience, reputation and ability of the  
26 attorneys and staff members.

1           18. Courts around the country have approved fee requests based on  
 2 Terrell Marshall's standard rates at the time of the application. Here is a sample  
 3 of the courts that have approved Terrell Marshalls' rates as reasonable in class  
 4 action litigation:

5           a. September 2019, in *Borecki v. Raymours Furniture*, No. 1:17-  
 6 cv-01188-LAK-SN (S.D.N.Y.);

7           b. August 2019, in *Abante Rooter and Plumbing v. Alarm.com*,  
 8 No. 4:15-cv-06314-YGR (N.D. Cal.);

9           c. September 2017, in *Melito v. American Eagle Outfitters, Inc.*,  
 10 No. 14-CV-2440 (VEC) (S.D.N.Y.);

11           d. May 2016, in *Lushe v. Verengo, Inc.*, No. CV 13-07632-AB  
 12 (PJWx) (C.D. Cal.);

13           e. September 2014, in *Chesbro v. Best Buy Stores, L.P.*, No. C10-  
 14 774 RAJ (W.D. Wash.);

15           f. March 2013, in *Meilleur v. AT&T Corp.*, No. C11-01025 MJP  
 16 (W.D. Wash.);

17           g. October 2012, in *Khadera v. ABM Industries, Inc.*, No. C08-  
 18 0417 RSM (W.D. Wash.);

19           h. September 2012, in *Arthur v. Sallie Mae, Inc.*, No. C10-00198  
 20 JLR (W.D. Wash.);

21           i. January 2012, in *Milligan v. Toyota Motor Sales, U.S.A., Inc.*,  
 22 No. C09-05418 RS (N.D. Cal.); and

23           j. August 2011, in *Seraphin v. AT&T Internet Services*, CV-00131-  
 24 REB (D. Idaho).

25           19. Terrell Marshall has incurred out-of-pocket litigation expenses  
 26 totaling \$16,276.96, to cover expenses related to experts, mediation, legal  
 27

28           DECLARATION OF ADRIENNE D. McENTEE IN SUPPORT OF PLAINTIFFS'  
               MOTION FOR ATTORNEYS' FEES, COSTS, AND SERVICE AWARDS

research, filing fees, and administrative costs such as mailing, and courier expenses. The following chart summarizes Terrell Marshall's litigation costs:

Category of Expense	Total
Computer Research and Pacer Expenses	\$290.26
Courier and Postage	\$775.39
Court Reporters and Transcripts	\$1,988.90
Experts Costs	\$4,000.00
Filing Fees	\$406.60
Mediation Costs	\$8,126.66
Travel (Airfare, Meals, Rides, and Parking)	\$689.15
<b>TOTAL</b>	<b>\$16,276.96</b>

#### Incentive Award

20. We are requesting an incentive award of \$10,000 for Class Representative, Cory Horton. Mr. Horton worked closely with Class Counsel to respond to discovery from Cavalry. He sat for a deposition. He attended mediation. He also rejected an offer of judgment from Cavalry. And he was ready and willing to testify at trial. I believe an incentive award in the amount of \$10,000 is reasonable and appropriate for Mr. Horton's efforts and commitment to the class for more than six years.

#### Estimated Awards to Settlement Class Members

21. To date, JND has received 54,791 claims. Although JND continues to process claims, their early analysis shows that at least 3,705 Settlement Class Members with Open Accounts have submitted claims for debt relief, which

1 represents \$2,219.295 of the \$18 million in debt relief available under the  
2 settlement. Settlement Class Members still have a month—until July 29, 2020—  
3 to submit claims, opt out, or object.

4 I declare under penalty of perjury under the laws of the United States that  
5 the foregoing is true and correct.

6 EXECUTED in Seattle, Washington, this 29th day of June, 2020.

7  
8 /s/ Adrienne D. McEntee, Pro Hac Vice  
9 Adrienne D. McEntee, *Admitted Pro Hac Vice*  
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DECLARATION OF ADRIENNE D. McENTEE IN SUPPORT OF PLAINTIFFS'  
MOTION FOR ATTORNEYS' FEES, COSTS, AND SERVICE AWARDS

CERTIFICATE OF SERVICE

I, Adrienne D. McEntee, hereby certify that on June 29, 2020, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the following:

Tomio B. Narita, CSB #156576  
Email: tnarita@snllp.com  
Jeffrey A. Topor, CSB #195545  
Email: jtopor@snllp.com  
SIMMONDS & NARITA LLP  
44 Montgomery Street, Suite 3010  
San Francisco, California 94104-4816  
Telephone: (415) 283-1000  
Facsimile: (415) 352-2625

*Attorneys for Defendant*

DATED this 29th day of June, 2020.

TERRELL MARSHALL LAW GROUP PLLC

By: /s/ Adrienne D. McEntee, Pro Hac Vice  
Adrienne D. McEntee, *Admitted Pro Hac Vice*  
Email: amcentee@terrellmarshall.com  
936 North 34th Street, Suite 300  
Seattle, Washington 98103-8869  
Telephone: (206) 816-6603  
Facsimile: (206) 319-5450

*Attorneys for Plaintiff Cory Horton*

DECLARATION OF ADRIENNE D. McENTEE IN SUPPORT OF PLAINTIFFS'  
MOTION FOR ATTORNEYS' FEES, COSTS, AND SERVICE AWARDS

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**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA**

CORY HORTON, *on behalf of himself  
and all others similarly situated,*

Plaintiff,

vs.

CAVALRY PORTFOLIO SERVICES,  
LLC,

Defendant.

Case No.: 1:13-cv-00307-JAH(WVG)

KEVIN KREJCI, *on behalf of himself  
and all others similarly situated,*

Plaintiff,

vs.

CAVALRY PORTFOLIO SERVICES,  
LLC,

Defendant.

No. 3:16-cv-00211-JAH(WVG)

**DECLARATION OF SERGEI  
LEMBERG IN SUPPORT OF  
MOTION FOR ATTORNEYS' FEES  
AND COSTS**

1 I, Sergei Lemberg, under penalty of perjury under the laws of the United States  
2 of America, affirm and state as follows:

3 1. I am the principal of Lemberg Law, LLC. I am a consumer rights  
4 attorney experienced in prosecuting actions under various Federal and State consumer  
5 protection statutes. I am a 1997 graduate of Brandeis University with a degree in  
6 Economics and a Minor in Accounting, a 2001 graduate of University of Pennsylvania  
7 School of Law and now the principal of Lemberg Law L.L.C.  
8  
9

10 2. I and my firm represent Kevin Krejci, Plaintiff in the related action  
11 Krejci v. Cavalry Portfolio Services, LLC, 16-cv-00211-JAH-WVG. I submit this  
12 declaration in support of the motion by Plaintiffs Horton and Krejci (together the  
13 “Plaintiffs”) to (1) preliminary approve the class action Settlement Agreement  
14 between Plaintiffs and Defendant Cavalry Portfolio Services, LLC (“Cavalry”) and (2)  
15 to consolidate the Krejci and Horton actions.  
16  
17

18 3. Prior to starting my own law firm, I held positions in the New York  
19 offices of Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C. and practiced  
20 corporate bankruptcy and reorganization law at Andrews Kurth LLP and Day Pitney  
21 LLP. I have personal knowledge as to all matters set forth in this Declaration and  
22 could testify to the same if called to do so.  
23  
24

25 4. I am a member in good standing of the bars of Massachusetts,  
26 Connecticut, Georgia, New York and Pennsylvania. I am also admitted to practice  
27 before the First, Second, Third, Fifth, Seventh, Ninth and Eleventh Circuit Courts of  
28



1 Appeal. I am admitted to practice before the following Federal courts: the District of  
 2 Massachusetts, Eastern and Western Districts of Arkansas; the District of Connecticut;  
 3 the Northern and Middle Districts of Georgia; the Northern, Central and Southern  
 4 Districts of Illinois; the District of Maryland; the Eastern and Western Districts of  
 5 Michigan; the Eastern District of Missouri; the District of Nebraska; the Northern,  
 6 Southern, Eastern and Western Districts of New York; the Northern District of Ohio;  
 7 the Northern, Eastern and Western Districts of Oklahoma; the Western District of  
 8 Texas; the Eastern, Middle and Western Districts of Pennsylvania

11 5. My firm's decisions on consumer right's matters include but are not  
 12 limited to: *Johnson v. Comodo Grp., Inc.*, 2020 WL 525898 (D.N.J. Jan. 31, 2020);  
 13 *Manuel v. NRA Grp. LLC*, 722 F. App'x 141, 142 (3d Cir. 2018); *Pollard v. Law*  
 14 *Office of Mandy L. Spaulding*, 766 F.3d 98 (1st Cir. 2014); *Scott v. Westlake Servs.*  
 15 *LLC*, 2014 WL 250251 (7th Cir. Jan. 23, 2014); *Evon v. Law Offices of Sidney*  
 16 *Mickell*, 688 F.3d 1015 (9th Cir. 2012); *LaVigne v. First Cmty. Bancshares, Inc.*, No.  
 17 1:15-CV-00934-WJ-LF, 2016 WL 6305992 (D.N.M. Oct. 19, 2016); *Butto v.*  
 18 *Collecto, Inc.*, 290 F.R.D. 372, 395-396 (E.D.N.Y. 2013); *Cerrato v. Solomon &*  
 19 *Solomon*, 909 F.Supp.2d 139 (D. Conn. 2012); *Zimmerman v. Portfolio Recovery*  
 20 *Assoc., LLC*, 276 F.R.D. 174 (S.D.N.Y. 2011); *Davis v. Diversified Consultants, Inc.*,  
 21 2014 WL 2944864 (D. Mass. June 27, 2014); *Hudak v. The Berkley Grp., Inc.*, 2014  
 22 WL 354666 (D. Conn. Jan. 23, 2014); *Zimmerman v. Portfolio Recovery Assocs.*,  
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1 *LLC*, 2013 WL 6508813 (S.D.N.Y. Dec. 12, 2013); *Seekamp v. It's Huge, Inc.*, 2012  
 2 WL 860364 (N.D.N.Y. Mar. 13, 2012).

3 6. I and my firm have been certified as class counsel, in both contested  
 4 proceedings and in settlement, in the following matters: *Johnson v. Comodo Grp.,*  
 5 *Inc.*, 2020 WL 525898 (D.N.J. Jan. 31, 2020) (class certification granted in Telephone  
 6 Consumer Protection Act ("TCPA") action); *Lavigne v. First Community Bancshares,*  
 7 *Inc., et al.*, 2018 WL 2694457, at \*5 (D.N.M. June 5, 2018) (same); *Munday v. Navy*  
 8 *Federal Credit Union*, ECF No. 60, 15-cv-01629 (C.D. Cal., July 14, 2017) (final  
 9 approval of class settlement of \$2.75MM in TCPA action); *Brown v. Rita's Water Ice*  
 10 *Franchise Co. LLC*, No. CV 15-3509, 2017 WL 1021025, at \*1 (E.D. Pa. Mar. 16,  
 11 2017) (final approval of class settlement of \$3MM common fund in TCPA action);  
 12 *Duchene v. Westlake Servs., LLC*, No. 2:13-CV-01577-MRH, 2016 WL 6916734  
 13 (W.D. Pa. July 14, 2016) (final approval of class settlement of \$10MM common fund  
 14 in TCPA action); *In Re: Convergent Telephone Consumer Protection Act Litigation*,  
 15 ECF No. 268, 3:13-md-02478 (D. Conn., November 10, 2016) (final approval of class  
 16 settlement consisting of \$5.5MM common fund in TCPA action); *Oberther v.*  
 17 *Midland Credit Management*, Doc. No. 90, 14-cv-30014 (D. Mass. July 13, 2016)  
 18 (Fair Debt Collection Practice Act ("FDCPA") class action); *Zimmerman v. Portfolio*  
 19 *Recovery Assoc., LLC*, 276 F.R.D. 174 (S.D.N.Y. 2011) (certifying FDCPA class  
 20 action); *Seekamp v. It's Huge, Inc.*, 2012 WL 860364 (N.D.N.Y. Mar. 13, 2012)  
 21 (certifying auto fraud class action); *Evon v. Law Offices of Sidney Mickell*, 688 F.3d  
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1 1015 (9th Cir. 2012) (FDCPA class action); *Butto v. Collecto, Inc.*, 290 F.R.D. 372  
2 (E.D.N.Y. 2013) (certifying FDCPA class action); *Douma v. Law Offices of Mitchell*  
3 *N. Kay P.C.*, 09-cv-9957 (S.D.N.Y.) (FDCPA class action); *Waiters v. Collection*  
4 *Tech., Inc.*, 10-cv-02514 (S.D.N.Y.) (FDCPA class action).

6 7. I have been interviewed and asked to contribute on multiple occasions by  
7 the media regarding various matters that I worked on, such as the Boston Herald,  
8 NorthJersey.com, Newsweek, The Leader Herald, PatriotLedger.com, Law360, Texas  
9 Lawyer, ABC News, Chanel 7 in Boston, McClatchy, AOL Autos, Connecticut Law  
10 Tribune, Philly.com, the Los Angeles Times, Consumer Reports.org, Syracuse.com,  
11 Daily News, Harford Advocate.com and the Boston Herald.

14 8. I have co-authored the definitive compilation of form complaints in  
15 Connecticut, Connecticut Civil Complaints for Business Litigation, contributing form  
16 complaints for the Lemon Law and Auto Fraud sections.

18 9. I am also the former Chair of the Consumer Law Section of the  
19 Connecticut Bar Association. I held that position from 2014 to 2015. I have been a  
20 guest speaker at the Professional Association for Customer Engagement conference in  
21 2014 and the National Debt Collection Forum in 2016. In both instances I spoke  
22 about best practices that should be or are adopted in the debt collection profession  
23 from the perspective of a consumer advocate.  
24  
25  
26  
27

28 **EFFORTS ON BEHALF OF PLAINTIFFS AND THE CLASS**

1           10. On February 7, 2013, my firm, then named Lemberg & Associates, LLC,  
 2 initiated this action on behalf of Plaintiff Cory Horton and a class of similarly situated  
 3 consumers against Cavalry for alleged violations of the TCPA. (Doc. No. 1). We  
 4 represented Mr. Horton in this matter from filing of the case until December, 2015,  
 5 after which he retained new counsel at the Terrell Marshall Law Group PLLC.  
 6

7           11. On January 27, 2016, my firm initiated the Krejci action on behalf of Mr.  
 8 Krejci and a class of similarly situated consumers against Cavalry for alleged  
 9 violations of the TCPA  
 10

11           12. Our efforts and the efforts of our co-counsel at the Terrell Marshall Law  
 12 Group PLLC led to the settlement in this matter. I divide my firm's work into specific  
 13 phases or tasks that track the progress of the case. Thus, my firm:  
 14

- 15           (1) Investigated the facts and law relating to Mr. Horton's and Mr.  
 16 Krejci's claims before initiating their respective actions.
- 17           (2) Drafted a well-plead Complaint in both matters.
- 18           (3) Engaged in extensive party and non-party discovery including:  
 19
  - 20           • Voluminous written discovery. The review of thousands of  
 21 documents including sample third party contracts, audio  
 22 recordings, account notes, dialer manuals, policy manuals, account  
 history notes and gigabytes of class data.
  - 23           • Testimonial discovery. We deposed three of Defendant's  
 24 employees, deposed non-party Navy Federal Credit Union,  
 25 deposed Defendant's expert, defended the depositions of Mr.  
 Horton and the two experts retained by Mr. Horton in this matter.
  - 26           • There were 9 depositions in total as we re-deposed the Rule  
 27 30(b)(6) designee after moving to compel further testimony for  
 28

1 failure to adequately prepare the corporate representative. (Doc.  
2 No. 73).

- 3 • Third party discovery. As noted, we deposed third-party Navy  
4 Federal Credit Union subject to a subpoena duces tecum. That  
5 discovery was instrumental for defeating Defendant's counterclaim  
6 against Mr. Horton (Doc. No. 164 granting summary judgment to  
7 Horton on counterclaim) and addressing issues of prior express  
8 consent in the case in chief. Further, we issued a subpoena to  
9 TracFone Wireless for relevant call records.
- 10 • Discovery disputes. Discovery in this case was contentious. There  
11 were myriad meet and confers on written discovery and on the  
12 testimony of witnesses designated under Rule 30(b)(6) and  
13 motions for discovery (Docs. No. 21, 60, 73).
- 14 • Expert discovery. Expert reports from Horton's two experts,  
15 review and responding to Defendant's expert and depositions of all  
16 three experts.

17 (4) Engaged in extensive motion practice including:

- 18 • Twice opposed Defendant's motion to amend its answer to assert a  
19 counterclaim against Mr. Horton related to the underlying debt in  
20 this action. (Docs. No. 23 & 38).
- 21 • Successfully moved for summary judgment on Cavalry's motion  
22 for summary judgment on the counterclaim (Docs. No. 86 & 164).
- 23 • Briefing on Horton's motion for class certification (Docs. No. 90,  
24 101, 118).
- 25 • Briefing on Cavalry's motion for summary judgment regarding  
26 whether its dialing system constituted an automatic telephone  
27 dialing system under the TCPA, 47 U.S.C. 227(a)(1). (Docs. No.  
28 87, 99 & 113).
- Briefing on motions to strike all three experts: Horton's two  
experts and Cavalry's one. (Docs. No. 95, 96, 130, 133, 136, 139,  
149, 152 & 155).

- Additional briefing on myriad other motions as reflected in the Horton docket from motions to seal, motions to strike exhibits to dispositive motions, and motions for sanctions.

(5) Engaged in extensive settlement discussions and processes.

- We participated in a full day mediation session before the Honorable Herbert B. Hoffman (Ret.), on June 8, 2015.
- We participated in two all-day mediations before the Honorable Leo S. Papas (Ret.) on June 7, 2019, and August 27, 2019.
- Related to these mediations we prepared extensive mediation statements.

(6) Negotiated the details of a comprehensive settlement agreement, conferred with our co-counsel and counsel for Cavalry on the settlement process, interviewed potential administrators, oversaw the settlement process as it has been implemented, and participated on the briefing on the class settlement approval.

13. I anticipate a significant amount of work and hours will be expended after the filing of the fee application related to final approval and oversight of the administrator.

14. The foregoing efforts of my firm on behalf of the class took thousands of hours of work and cost tens of thousands of dollars in hard costs. We undertook this work on behalf of Mr. Horton, Mr. Krejci and the class on contingency and, as the extensive litigation history of these actions demonstrate, at great risk of no remuneration.

15. The following staff contributed significant time towards this case and seek compensation for the following hours and rates. Excluded is time for administrative tasks or for professional time which we do not submit for consideration

<u>Professional</u>	<u>Rate</u>	<u>Hours</u>	<u>Lodestar</u>
Sergei Lemberg, Esq.	\$700	773	\$541,100
Stephen Taylor, Esq.	\$650	737	\$479,050
Trinette Kent, Esq.	\$450	85	\$38,250
Jenny DeFrancisco, Esq.	\$350	248	\$86,800
Alex Hornat, Esq.	\$300	342	\$102,600
Paralegal	\$200	153	\$30,600
		<b>Total:</b>	<b>Total:</b>
		<b>2,338</b>	<b>\$1,278,400</b>

16. My billing rate in this matter is \$700 per hour which is a reasonable rate given my experience and expertise in consumer rights class action litigation.

17. In addition, Mr. Taylor's billing rate is \$650 per hour which is supported by his skill and experience. Mr. Taylor is a partner at the firm. He is a 2007 graduate of Tulane University School of Law and a 2003 graduate from Boston College. He is a former judicial clerk and worked for the Connecticut firm the Law Office of Norman Pattis before joining Lemberg Law in 2009. Mr. Taylor has extensive experience in consumer rights litigation including matters brought under the TCPA, the Fair Debt Collection Practices Act ("FDCPA") the Magnuson Moss Federal Act, the Truth in Lending Act, and a variety of state consumer protection statutes. He has been certified as class counsel in numerous cases. *See, e.g., Johnson v. Comodo Grp., Inc.*, 2020 WL 525898 (D.N.J. Jan. 31, 2020); *Lavigne v. First Community Bancshares, Inc., et al.*, 2018 WL 2694457, at \*5 (D.N.M. June 5, 2018); *Munday v. Navy Federal Credit Union*, ECF No. 60, 15-cv-01629 (C.D. Cal., July 14, 2017) (TCPA action); *Brown v. Rita's Water Ice Franchise Co. LLC*, No. CV 15-3509, 2017 WL 1021025, at \*1 (E.D. Pa. Mar. 16, 2017) (TCPA action); *Vinas v. Credit Bureau of Napa County*



1 *Inc.*, Dkt. No. 112, 14-cv-3270 (D. Md. February 22, 2017) (FDCPA action); *Duchene*  
2 *v. Westlake Servs., LLC*, No. 2:13-CV-01577-MRH, 2016 WL 6916734 (W.D. Pa.  
3 July 14, 2016) (TCPA action); *Oberther v. Midland Credit Management*, Doc. No. 90,  
4 14-cv-30014 (D. Ma. July 13, 2016) (FDCPA); *Butto v. Collecto, Inc.*, 290 F.R.D. 372  
5 (E.D.N.Y. 2013) (FDCPA action); *Seekamp v. It's Huge, Inc.*, 2012 WL 860364  
6 (N.D.N.Y. Mar. 13, 2012) (auto fraud class action); *Zimmerman v. Portfolio Recovery*  
7 *Assoc., LLC*, 276 F.R.D. 174 (S.D.N.Y. 2011) (FDCPA action).

10 18. Further, we are seeking compensation for several firm attorneys in  
11 addition to myself and Mr. Taylor. Ms. Trinette Kent at \$450 per hour, Ms. Jenny  
12 DeFrancisco, a former employee at the firm, at \$350 per hour and Mr. Alex Hornat, a  
13 former employee at the firm, at \$300 per hour.

15 19. Ms. Kent is of counsel to the firm and oversees California cases. She  
16 received her J.D. from Loyola Law School in 2002. She is admitted to practice in the  
17 states of Arizona and California; the U.S. District Courts for the District of Arizona,  
18 Northern District of California, Eastern District of California, Central District of  
19 California, and Southern District of California; and the Ninth Circuit Court of  
20 Appeals. She began her of counsel relationship with Lemberg Law, LLC  
21 approximately 8 years ago. She has practiced law for 17 years. Prior to joining  
22 Lemberg Law, LLC, she worked at Shernoff, Bidart & Echeverria in California and  
23 Surrano Law Offices in Arizona, representing consumers against insurance companies  
24 and focusing on insurance bad faith claims. In 2010, she opened her own firm, Kent  
25  
26  
27  
28



1 Law Offices, which remains active. Since 2012 to the present, she has focused her  
2 practice on consumer protection, representing consumers in litigation under various  
3 consumer protection statutes, including the TCPA.  
4

5 20. Ms. Defrancisco is a former associate with the firm. She received her  
6 J.D. from Brooklyn Law School in 2010 and was admitted to practice in Connecticut  
7 and New York. Ms. Defrancisco was also admitted to practice before the Northern,  
8 Southern and Eastern Districts of Texas, the District of Connecticut, the District of  
9 Nebraska, the District of North Dakota, the Northern, Southern and Western Districts  
10 of New York, and the District of Colorado. Ms. Defrancisco's practice at my firm  
11 focused on FDCPA and TCPA litigation.  
12  
13

14 21. Mr. Hornat is a former associated with the firm. Mr. Hornat received his  
15 J.D. from the University of Connecticut School of Law in 2013 and was admitted to  
16 practice in Connecticut, New York and Massachusetts. Mr. Hornat was also admitted  
17 to practice before the District of Connecticut, Southern District of New York, District  
18 of Massachusetts. Mr. Hornat's practice at my firm focused on FDCPA and TCPA  
19 litigation.  
20  
21

22 22. These rates, (between \$700 and \$650 for partners, \$300-\$450 for  
23 associate counsel and \$200 for paralegal staff) are reasonable and within the range of  
24 rates charged by attorneys with similar qualifications in complex class action  
25 litigation. We have been recently been approved at slightly lower rates in an action  
26 pending in the Eastern District of California, Fresno Division. *Larson v. Harman-*  
27  
28

1 *Management Corporation*, 2020 WL 3402406, at \*9 (E.D. Cal. June 19, 2020)  
2 (approving rates of \$650-\$400 for partners and of counsel and \$300 for associates as  
3 within the prevailing rate in the district). In that matter, the prevailing rates previously  
4 approved by the Court were between \$545 and \$695 for senior counsel and partners.  
5  
6 *Id.* The higher rates submitted here reflect the higher prevailing rates in the Southern  
7 District of California, San Diego division, as compared to the Eastern District of  
8 California, Fresno Division. *See, e.g., Oxina v. Lands' End, Inc.*, 2016 WL 7626190,  
9 at \*5 (S.D. Cal. Dec. 2, 2016) (“[t]he National Law Journal data reveals that rates at  
10 six national defense firms with San Diego offices averaged between \$550 and \$747  
11 per hour for partners and \$346 and \$508 per hour for associates.”) (*quoting Shames v.*  
12 *Hertz Corp.*, 2012 WL 5392159, at \*18 (S.D. Cal. Nov. 5, 2012)).  
13  
14  
15  
16

### 17 EXPENSES

18 23. Lemberg Law has incurred substantial costs due to court costs, expert  
19 costs, travel, mediation and deposition expenses in connection with this action.  
20

21 24. As reflected in the expense reports attached hereto as Exhibit A, the total  
22 costs incurred to date are \$69,072.68.

23 25. All of these costs and expenses are reflected in the books and records of  
24 the firm, and are supported by invoices, receipts, expense vouchers, check records, or  
25 other documentation.  
26  
27  
28



CERTIFICATE OF SERVICE

I, Adrienne D. McEntee, hereby certify that on June 29, 2020, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the following:

Tomio B. Narita, CSB #156576  
Email: [tnarita@snllp.com](mailto:tnarita@snllp.com)  
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SIMMONDS & NARITA LLP  
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San Francisco, California 94104-4816  
Telephone: (415) 283-1000  
Facsimile: (415) 352-2625

*Attorneys for Defendant*

DATED this 29th day of June, 2020.

TERRELL MARSHALL LAW GROUP PLLC

By: /s/ Adrienne D. McEntee, Pro Hac Vice  
Adrienne D. McEntee, *Admitted Pro Hac Vice*  
Email: [amcentee@terrellmarshall.com](mailto:amcentee@terrellmarshall.com)  
936 North 34th Street, Suite 300  
Seattle, Washington 98103-8869  
Telephone: (206) 816-6603  
Facsimile: (206) 319-5450

*Attorneys for Plaintiff Cory Horton*

# **Exhibit A**

**LEMBERG LAW LLC**  
*Cavalry Portfolio Services*

06/29/2020

**All Transactions**

**Accrual Basis**

Invoiced on	Memo	Debit	Credit	Balance
08/28/2019	Travel expense	1.00		1.00
08/28/2019	Travel expense	11.79		12.79
08/28/2019	Travel expense	66.91		79.70
08/28/2019	Travel expense	164.32		244.02
08/27/2019	Travel expense	14.18		258.20
08/27/2019	Travel expense	1.00		259.20
08/27/2019	Travel expense	11.04		270.24
08/27/2019	Travel expense	1.00		271.24
08/27/2019	Travel expense	9.28		280.52
08/27/2019	Travel expense	2.00		282.52
08/27/2019	Travel expense	1.00		283.52
08/27/2019	Travel expense	2.00		285.52
08/27/2019	Travel expense	8.79		294.31
08/27/2019	Travel expense	10.49		304.80
08/27/2019	Travel expense	60.12		364.92
08/27/2019	Travel expense	64.55		429.47
08/26/2019	Travel expense	29.97		459.44
08/26/2019	Travel expense	31.76		491.20
08/26/2019	Travel expense	4.74		495.94
08/26/2019	Travel expense	18.35		514.29
08/26/2019	Travel expense	25.41		539.70
08/25/2019	Travel expense	407.30		947.00
08/24/2019	Travel expense	389.98		1,336.98
08/22/2019	Travel expense	47.15		1,384.13
08/22/2019	Travel expense	597.30		1,981.43
08/21/2019	Travel expense	264.85		2,246.28
08/21/2019	Travel expense	549.00		2,795.28
08/18/2019	Court reporting fee	1,716.66		4,511.94
08/03/2019	Travel expense	30.00		4,541.94
08/03/2019	Travel expense	112.77		4,654.71
08/03/2019	Travel expense	7.60		4,662.31
07/28/2019	Travel expense		172.04	4,490.27
07/22/2019	Travel expense	80.62		4,570.89
07/22/2019	Travel expense	16.12		4,587.01
07/04/2019	Travel expense	4.00		4,591.01
07/04/2019	Travel expense	43.21		4,634.22
07/02/2019	Travel expense	43.00		4,677.22
07/01/2019	Travel expense	8.67		4,685.89
07/01/2019	Travel expense	3.00		4,688.89
06/28/2019	Travel expense	172.04		4,860.93
06/21/2019	Travel expense	152.00		5,012.93
06/15/2019	Travel expense	34.00		5,046.93

Invoiced on	Memo	Debit	Credit	Balance
06/14/2019	Travel expense	24.33		5,071.26
06/09/2019	Travel expense	63.38		5,134.64
06/08/2019	Travel expense	96.64		5,231.28
06/08/2019	Travel expense	14.49		5,245.77
06/08/2019	Travel expense	13.18		5,258.95
06/08/2019	Travel expense	6.65		5,265.60
06/08/2019	Travel expense	3.00		5,268.60
06/08/2019	Travel expense	1.00		5,269.60
06/08/2019	Travel expense	25.58		5,295.18
06/08/2019	Travel expense	29.00		5,324.18
06/08/2019	Travel expense	119.36		5,443.54
06/07/2019	Travel expense	13.72		5,457.26
06/07/2019	Travel expense	8.70		5,465.96
06/07/2019	Travel expense	8.63		5,474.59
06/07/2019	Travel expense	3.00		5,477.59
06/07/2019	Travel expense	174.77		5,652.36
06/07/2019	Travel expense	58.49		5,710.85
06/07/2019	Travel expense	28.18		5,739.03
06/07/2019	Travel expense	33.24		5,772.27
06/06/2019	Travel expense	13.63		5,785.90
06/06/2019	Travel expense	12.96		5,798.86
06/06/2019	Travel expense	3.00		5,801.86
06/06/2019	Travel expense	3.00		5,804.86
06/06/2019	Travel expense	174.17		5,979.03
06/05/2019	Travel expense	16.00		5,995.03
05/31/2019	Travel expense	690.61		6,685.64
05/30/2019	Travel expense	184.54		6,870.18
05/30/2019	Travel expense	1,561.60		8,431.78
05/26/2019	Travel expense	69.84		8,501.62
05/23/2019	Court reporting fee	4,650.00		13,151.62
05/10/2019	Travel expense	29.00		13,180.62
11/08/2015	Travel expense	268.10		13,448.72
11/08/2015	Travel expense	198.10		13,646.82
07/31/2015	Document production fee	89.25		13,736.07
06/26/2015	Court mediation fee	3,495.00		17,231.07
03/06/2015	Travel expense	97.75		17,328.82
03/03/2015	Travel expense	14.66		17,343.48
03/03/2015	Travel expense	16.00		17,359.48
03/03/2015	Travel expense	16.00		17,375.48
03/03/2015	Travel expense	39.66		17,415.14
03/03/2015	Travel expense	41.00		17,456.14
03/03/2015	Travel expense	51.72		17,507.86
02/06/2015	Travel expense	16.00		17,523.86
01/10/2015	Postage and delivery fee	25.09		17,548.95
01/06/2015	Postage and delivery fee	90.20		17,639.15

Invoiced on	Memo	Debit	Credit	Balance
12/10/2014	Postage and delivery fee	60.20		17,699.35
11/26/2014	Postage and delivery fee	79.11		17,778.46
11/22/2014	Postage and delivery fee	61.33		17,839.79
11/06/2014	Postage and delivery fee	122.54		17,962.33
11/04/2014	Postage and delivery fee	70.63		18,032.96
10/25/2014	Court reporting fee	464.15		18,497.11
10/15/2014	Postage and delivery fee	160.22		18,657.33
10/10/2014	Court reporting fee	1,643.93		20,301.26
10/03/2014	Court reporting fee	730.75		21,032.01
10/03/2014	Court reporting fee	2,846.67		23,878.68
09/30/2014	Expert fee	675.00		24,553.68
09/29/2014	Expert fee	5,000.00		29,553.68
09/27/2014	Court filing fee	2,632.45		32,186.13
09/15/2014	Expert fee	4,000.00		36,186.13
09/10/2014	Travel expense	60.00		36,246.13
09/10/2014	Travel expense	47.00		36,293.13
09/07/2014	Court reporting fee	1,117.70		37,410.83
09/04/2014	Process server fee	84.25		37,495.08
08/28/2014	Travel expense	693.79		38,188.87
08/22/2014	Travel expense	278.43		38,467.30
08/21/2014	Court reporting fee	547.25		39,014.55
08/19/2014	Travel expense	38.00		39,052.55
08/19/2014	Travel expense	22.00		39,074.55
08/19/2014	Travel expense	40.00		39,114.55
08/19/2014	Travel expense	27.00		39,141.55
08/19/2014	Travel expense	43.50		39,185.05
08/18/2014	Travel expense	29.00		39,214.05
08/18/2014	Expert fee	4,015.00		43,229.05
08/14/2014	Travel expense	25.00		43,254.05
08/14/2014	Postage and delivery fee	33.57		43,287.62
08/13/2014	Travel expense	200.10		43,487.72
08/13/2014	Travel expense	59.00		43,546.72
08/12/2014	Travel expense	15.19		43,561.91
08/12/2014	Travel expense	28.80		43,590.71
08/12/2014	Travel expense	13.37		43,604.08
08/12/2014	Travel expense	10.00		43,614.08
08/12/2014	Travel expense	11.90		43,625.98
08/10/2014	Travel expense	711.70		44,337.68
08/08/2014	Document production fee	2,000.00		46,337.68
08/05/2014	Travel expense	49.00		46,386.68
07/29/2014	Travel expense	442.20		46,828.88
07/25/2014	Expert fee	4,545.00		51,373.88
07/01/2014	Expert fee	310.00		51,683.88
06/30/2014	Expert fee	5,000.00		56,683.88
03/07/2014	Court reporting fee	6,275.00		62,958.88



Invoiced on	Memo	Debit	Credit	Balance
03/05/2014	Expert fee	2,220.00		65,178.88
11/14/2013	Court filing fee	206.00		65,384.88
11/08/2013	Expert fee	2,500.00		67,884.88
09/13/2013	Process server fee	100.00		67,984.88
09/13/2013	Process server fee	100.00		68,084.88
08/30/2013	Postage and delivery fee	15.00		68,099.88
06/11/2013	Travel expense	341.80		68,441.68
05/31/2013	Court filing fee	206.00		68,647.68
02/20/2013	Process server fee	75.00		68,722.68
02/07/2013	Court filing fee	350.00		69,072.68
TOTAL		69,244.72	172.04	69,072.68

Beth E. Terrell, CSB #178181  
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[Additional Counsel Appear on Signature Page]

*Attorneys for Plaintiff Cory Horton*

UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF CALIFORNIA

CORY HORTON, on behalf of himself  
and all others similarly situated,

Plaintiff,

v.

CAVALRY PORTFOLIO SERVICES, LLC,

Defendant.

NO. 13-CV-00307-JAH (WVG)

**DECLARATION OF CORY HORTON**

Complaint Filed: February 7, 2013

DEMAND FOR JURY TRIAL

Honorable John A. Houston

DATE: September 28, 2020

TIME: 2:30 p.m.

COURTROOM: 13B

1 KEVIN KREJCI, on behalf of himself and all  
2 others similarly situated,

NO. 3:16-cv-00211-JAH-WVG

3 Plaintiff,

4 v.

5 CAVALRY PORTFOLIO SERVICES, LLC,  
6

7 Defendant.  
8  
9

10  
11 I, Cory Horton, declare as follows:

12 1. I am a named Plaintiff in this action. I have personal knowledge of  
13 the following facts and, if called upon as a witness, could and would competently  
14 testify thereto.

15 2. Prior to initiating this lawsuit, Cavalry called my cell phone multiple  
16 times. I believe the systems Cavalry used to make those calls are automatic  
17 telephone dialing systems. I never gave Cavalry permission to use these systems  
18 to call my cell phone.

19 3. Throughout the litigation, I worked closely with my counsel to  
20 respond to discovery from Cavalry. I responded to multiple sets of written  
21 discovery, including interrogatories, requests for production, and requests for  
22 admission.

23 4. I also prepared for and was deposed in this matter on August 20,  
24 2014. The deposition started at 10:02 a.m. and ended at 3:49 p.m.

25 5. In response to the litigation, Cavalry filed a counterclaim against  
26 me, which I was forced to defend against. Ultimately, the Court entered  
27

28  
DECLARATION OF CORY HORTON IN SUPPORT OF PLAINTIFFS' MOTION FOR  
ATTORNEYS' FEES, COSTS, AND SERVICE AWARDS  
- 2 - 13-CV-00307-JAH (WVG)

1 judgment in my favor.

2 6. My commitment to holding Cavalry accountable for its actions  
3 against me and other class members has been steadfast for more than six years.  
4 I even rejected an offer of judgment in order to obtain a benefit for the entire  
5 class.

6 7. Finally, I consulted closely with my counsel throughout the  
7 settlement process to ensure that an excellent result was reached for all  
8 members of the class. I support the Settlement in its entirety.

9  
10 I declare under penalty of perjury under the laws of California and the  
11 United States of America that the foregoing is true and correct

12 EXECUTED at Benicia, California, this 25<sup>th</sup> day of  
13 June, 2020.

14  
15 

16 CORY HORTON

CERTIFICATE OF SERVICE

I, Adrienne D. McEntee, hereby certify that on June 29, 2020, I electronically filed the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the following:

Tomio B. Narita, CSB #156576  
Email: tnarita@snllp.com  
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Facsimile: (415) 352-2625

*Attorneys for Defendant*

DATED this 29th day of June, 2020.

TERRELL MARSHALL LAW GROUP PLLC

By: /s/ Adrienne D. McEntee, Pro Hac Vice  
Adrienne D. McEntee, *Admitted Pro Hac Vice*  
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*Attorneys for Plaintiff Cory Horton*